



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/332,317	06/14/1999	JAMES D. BENNETT	P93-00-DD	2769

7590 03/08/2002

JAMES BUCH
ENGATE INCORPORATED
1302 E FOREST AVENUE
WHEATON, IL 60187

EXAMINER

ELISCA, PIERRE E

ART UNIT

PAPER NUMBER

2161

DATE MAILED: 03/08/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

16

Office Action Summary

Application No.
09/332,317

Applicant(s)
James D. Bennett

Examiner
Pierre E. Elisca

Art Unit
2161



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE THREE MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on 1/3/2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 6-27 is/are pending in the application.
- 4a) Of the above, claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 6-27 is/are allowed.
- 6) ☒ Claim(s) 6-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claims _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- *See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

- 15) ☒ Notice of References Cited (PTO-892) 18) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 16) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 19) ☐ Notice of Informal Patent Application (PTO-152)
- 17) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s). _____ 20) ☐ Other: _____

Art Unit: 2161



Examiner Pierre Eddy Elisca

United States Department of Commerce

Patent and Trademark Office

Washington, D.C. 20231

DETAILED ACTION

1. **This Office action is in response to Applicant's amendment filed on 01/30/2002.**
2. Claims 6-27 are pending.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 6-27 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Buchanan et al. (U.S. Pat. No. 5,148,366) in view of Griggs (U.S. Pat. NO. 4,435,617).

Art Unit: 2161

As per claims 6, 11, 13, 16, 17, 18, 19, 20, 22, 23, 24, 25 and 26-27 **Buchanan** substantially discloses a document generation system that is provided for enhancing or replacing the dictation and transcription process. A computer-based documentation system utilizing a document structure manipulated by a user interface... see., abstract, col 2, lines 30-48 (which is seen to read as Applicant's claimed invention wherein it is stated that a transcription system used to convert (convert or replace or the boiler-plates for managing patient reporting from voice to text) words spoken during a transcription proceeding to a textual form for real time), the transcription system comprising:

a transcriber that produces, in real time, transcript text representative of spoken words (this limitation is disclosed by Buchanan in the abstract, lines 7-16, col 6, lines 10-47, and also col 1, lines 35-68, col 2, lines 1 and 2);

data storage that stores data representative of at least one document relating to the transcription proceeding (this limitation is disclosed by Buchanan in col 4, lines 3-68, specifically relational database);

a user input device supporting the selection of the at least one document (this limitation is disclosed by Buchanan in col 4, lines 18-39, specifically the keyboard 18).

It is noted that **Buchanan** does not explicitly disclose a screen that displays the transcript text as it is produced. However, **Griggs** discloses a speech-controlled phonetic device that utilizes a two-tier approach for converting an audio input into visual form and the speech-controlled also includes a printer display for displaying transcript data (see., fig 1, element 36, col 3, lines 58-68, col 4, lines 1-

Art Unit: 2161

14). Accordingly, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the process of dictating and transcribing of **Buchanan** by implementing a screen display as taught by **Griggs** because such modification would provide the process of dictating and transcribing of **Buchanan** with the enhanced necessary to produce, in real time, a simultaneous printed or displayed output which is, to the greatest extent possible (see., Griggs, col 2, lines 65-68, col 3, line 1).

As per claims 7, 12, 14, 15, 21, Buchanan discloses the claimed limitation, wherein a processor that responds to the user input device as the transcriber produces the transcript text by associating at least a portion of the transcript text with the at least one document (this limitation is disclosed by Buchanan in col 3, lines 26-33, fig 1, element 6).

As per claim 8, Buchanan discloses the claimed limitation, wherein the transcript text is stored in data storage (this limitation is disclosed by Buchanan in col 3, lines 26-33, fig 1, element 2).

As per claims 9, 10, Buchanan discloses the claimed limitation, wherein the user input device supports selection of the portion of the transcript text stored in data storage and wherein the screen displays the portion of the transcript text (this limitation is disclosed by Buchanan in col 4, lines 18-39).

Art Unit: 2161

CONCLUSION

5. The prior art made of record and relied upon is considered to applicant's disclosure.
6. Any inquiry concerning this communication from the examiner should be directed to Pierre Eddy Elisca at (703) 305-3987. The examiner can normally be reached on Tuesday to Friday from 6:30AM to 5:00PM.

If any attempt to reach the examiner by telephone is unsuccessful, the examiner's supervisor, James Trammell can be reached on (703) 305-9769.

Any response to this action should be mailed to:

Commissioner of Patents of Trademarks

Washington, D.C. 20231

or faxed to:

(703) 308-9051, (for formal communications intended for entry)

OR

(703) 305-9724, (for informal or draft communications, please label "PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA., Sixth floor (receptionist).

The Official Fax Numbers For TC-2100

after-Final (703) 746-7238


Official (703) 746-7239

Serial Number: 09/332,317

Page 6

Art Unit: 2161

Non-Official/Draft (703) 746-7240


Pierre Eddy Elisca

Patent Examiner

March 06, 2002